



Accounting for the measurement error of spectroscopically inferred soil carbon data for improved precision of spatial predictions



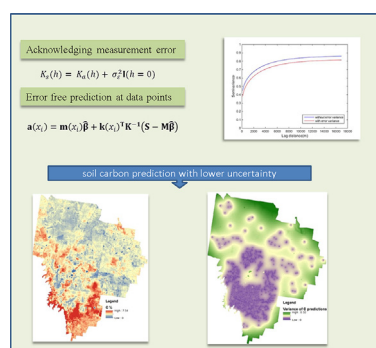
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HIGHLIGHTS

- Measurement errors can be filtered through incorporating in the covariance structure of the spatial model.
- Acknowledging measurement errors in spatial modeling yields a lower uncertainty in spatial predictions.
- MCMC techniques can be used to define the posterior density of measurement error variance.
- Performance of REML-EBLUP approach is comparable to MCMC techniques in terms of bias correction of the spatial model.

GRAPHICAL ABSTRACT



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ABSTRACT

Spatial modelling of environmental data commonly only considers spatial variability as the single source of uncertainty. In reality however, the measurement errors should also be accounted for. In recent years, infrared spectroscopy has been shown to offer low cost, yet invaluable information needed for digital soil mapping at meaningful spatial scales for land management. However, spectrally inferred soil carbon data are known to be less accurate compared to laboratory analysed measurements. This study establishes a methodology to filter out the measurement error variability by incorporating the measurement error variance in the spatial covariance structure of the model. The study was carried out in the Lower Hunter Valley, New South Wales, Australia where a combination of laboratory measured, and vis-NIR and MIR inferred topsoil and subsoil soil carbon data are available. We investigated the applicability of residual maximum likelihood (REML) and Markov Chain Monte Carlo (MCMC) simulation methods to generate parameters of the Matérn covariance function directly from the data in the presence of measurement error. The results revealed that the measurement error can be effectively filtered-out through the proposed technique. When the measurement error was filtered from the data, the prediction variance almost halved, which ultimately yielded a greater certainty in spatial predictions of soil carbon. Further, the MCMC technique was successfully used to define the posterior distribution of measurement error. This is an important outcome, as the MCMC technique can be used to estimate the measurement error if it is not explicitly quantified. Although this study dealt with soil carbon data, this method is amenable for filtering the measurement error of any kind of continuous spatial environmental data.

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1. Introduction

Soil carbon is recognized as a variable central to soil fertility and agricultural productivity. It is also well known for its capacity to serve as a store for atmospheric carbon. Transferring atmospheric CO₂ into long-lived pools and securely storing so that it is not immediately remitted is known as carbon sequestration (Lal, 2004; Yigini and Panagos, 2016). Small increases in soil carbon stocks per unit land area are anticipated to result in significant changes in climate and land use management (Falloon and Betts, 2010). Understanding soil carbon processes for implementing “best practice” for balancing carbon budgets is pivotal for carbon sequestration programs (Dawson and Smith, 2007). These programs need extensive sampling for auditing soil carbon stocks. Similarly, the assessment of soil health would also require conducting extensive measurement of soil carbon.

With the growing need for detailed soil carbon data, existing soil carbon maps and inventories are becoming inadequate, especially for large scale projects (Stevens et al., 2013). Standard techniques of soil carbon measurements such as dry combustion and oxidation analyses can be tedious, time consuming and expensive (Nocita et al., 2014). Conversely, infrared spectroscopy has been demonstrated to be a near comparable measurement technique that has the added advantage of being relatively low cost (Janik et al., 2007; Reeves III, 2010; Rossel and Webster, 2012; Stevens et al., 2013; Viscarra Rossel et al., 2006). The low cost associated with this technique means that mapping studies can afford higher sampling densities, thus enabling a detailed understanding soil carbon spatial variation across landscapes.

The use of infrared spectroscopy for soil analysis has been thriving over the past decade (Bellon-Maurel and McBratney, 2011). These studies have mostly focused on predicting basic soil composition, particularly soil organic carbon (SOC) and texture (Stenberg et al., 2010). Bellon-Maurel and McBratney (2011) provide a detailed review of the studies on the use of NIR and MIR spectroscopic studies for soil carbon inference. The review showed that these soil spectral inference studies are largely dedicated to predicting soil carbon content for point locations. However, it is proposed that these soil spectral inference studies could be further expanded into a spatial context for *optimally* predicting soil carbon content at unsampled locations, and ultimately for soil mapping purposes.

Infrared spectroscopic soil carbon measurement is an indirect mode of measurement. The carbon concentrations are inferred using calibration models based on the characteristics of the absorption spectrum of scanned soil samples. One drawback of using these soil carbon data is the comparatively larger measurement error associated with calibration models compared to the data acquired through standard dry combustion techniques (Bellon-Maurel et al., 2010).

When predicting the soil carbon content spatially, we are interested in the actual value rather than the value distorted by the measurement error. More often than not, measurement error is disregarded. For example, a recent study by Rial et al. (2017) mapped topsoil organic carbon content using Visible-Near Infrared (VNIR) spectroscopic measurements without accommodating within the methodology a procedure for handling the measurement errors in the data.

To achieve an optimal prediction in a spatial modelling exercise, the measurement errors should be filtered out (Cressie, 1991). One way of accounting for the measurement error is to include measurement error variance (σ_e^2) in the variogram or covariance structure of the spatial model. This is also known as kriging with uncertain data, where the error variance is added to the diagonal of the spatial covariance matrix (Delhomme, 1978; Knotters et al., 1995; Laslett and McBratney, 1990). This filters the measurement error variance from the nugget component of the experimental variogram, ultimately leading to lower uncertainty of spatial predictions.

The accuracy of the spatial predictions can also be influenced by the techniques of model parameter estimation. Conventional techniques using method-of-moments can be biased (Lark et al., 2006), and thus

the Residual Maximum Likelihood Method (REML) and Bayesian inference from Markov Chain Monte Carlo (MCMC) analysis are the established techniques for unbiased parameter estimation (Poggio et al., 2016). Lark et al. (2006) used REML for estimating parameters of the covariance function directly from the data, and then the estimated parameters were used for the spatial prediction in what is termed as an empirical best linear unbiased predictor (EBLUP). MCMC simulation can also be applied for estimating the variogram and trend model parameters directly from data. Minasny et al. (2011) advocated the use of MCMC simulation for parameter inference in model-based soil geostatistics including the spatial prediction of soil carbon. The basic advantage of MCMC over REML is that MCMC estimates the underlying uncertainty of the parameters, whereas REML relies on a single realisation of the variogram parameters. However, MCMC estimations are computationally expensive compared to the REML approach due to the slow convergence rates of the former (Mossel and Vigoda, 2006; Poggio et al., 2016).

In this study, we explored the applicability of REML-EBLUP and MCMC simulation for measurement error parameter inference for soil carbon spatial modelling. A combination of laboratory measured (dry combustion), near infrared red (NIR) and mid infrared (MIR) spectra estimated soil carbon data and associated σ_e^2 were used for predicting soil carbon content across the Hunter Valley region, NSW, Australia. Subsequently, we compared the prediction capability of each model, i.e. incorporating σ_e^2 , and without σ_e^2 .

2. Theoretical context

The stochastic spatial process of soil carbon can be expressed by a linear mixed model.

$$\mathbf{S} = \mathbf{M}\boldsymbol{\beta} + \mathbf{W}\mathbf{u} + \mathbf{e} \quad (1)$$

\mathbf{S} is the vector of n observations, \mathbf{M} is the $n \times p$ design matrix that associates with each value of p fixed effects, and $\boldsymbol{\beta}$ is the vector of p fixed effect coefficients. \mathbf{u} is the vector of q random effects, realisations of variable \mathbf{u} , which is associated with the n observations by an $n \times q$ design matrix \mathbf{W} . It is assumed that \mathbf{u} is the spatially dependent random variable, while \mathbf{e} independent random errors and \mathbf{u} and \mathbf{e} are independent to each other. Hence, assuming \mathbf{u} and \mathbf{e} are jointly Gaussian,

$$\begin{bmatrix} \mathbf{u} \\ \mathbf{e} \end{bmatrix} \sim N \left(\begin{bmatrix} \mathbf{0} \\ \mathbf{0} \end{bmatrix}, \begin{bmatrix} \sigma^2 \boldsymbol{\xi} \mathbf{G} & \mathbf{0} \\ \mathbf{0} & \sigma^2 \mathbf{I} \end{bmatrix} \right) \quad (2)$$

where σ^2 is the variance of the independent error, $\boldsymbol{\xi}$ is the variance ratio between \mathbf{u} and σ^2 and \mathbf{G} is the correlation matrix of \mathbf{u} . \mathbf{e} represents both measurement errors and the short scale variations of the spatial process which is geo-statistically known as the nugget effect. Assuming \mathbf{u} is drawn from second order stationary random process, \mathbf{G} can be characterised by a suitable covariance function since it only depends on the relative locations of the observations (Lark et al., 2006).

The Matérn covariance function has been effectively used in soil science (Minasny and McBratney, 2005) to model the covariance structure of the random effects. The Matérn covariance function (\mathbf{K}) is given as,

$$K_{ij} = c_0 \delta_{ij} + c_1 \left[\frac{1}{2^{v-1} \Gamma(v)} \left(\frac{h}{r} \right)^v K_v \left(\frac{h}{r} \right) \right] \quad (3)$$

where K_{ij} is the covariance between observation i and j , h represents the separation distance between i and j , δ_{ij} denotes the Kronecker delta ($\delta_{ij} = 1$ if $i = j$ and $\delta_{ij} = 0$ when $i \neq j$), $c_0 + c_1$ signifies the sill variance, K_v is the modified Bessel function of the second kind of order v . Γ is the gamma function, r denotes the distance or ‘range’ parameter and v is the spatial ‘smoothness’. The latter parameter allows greater flexibility in modelling the local spatial covariance. The parameters of the covariance function along with σ^2 and $\boldsymbol{\xi}$ can be estimated using REML. This

counters the dependence of the estimates on the fixed effects β which are the “nuisance” parameters in this spatial problem (Lark et al., 2006).

2.1. Incorporating measurement error in the spatial model structure

The spectroscopic soil carbon estimates $S(x_i)$ are usually associated with measurement errors and different to the true or actual values $a(x_i)$. The data model for spatial random process can also be written as,

$$S(x_i) = a(x_i) + e(x_i) \tag{4}$$

where, the process model $a(x_i) = M\beta + Wu$.

Therefore, measurement error variability can be acknowledged through the inclusion of the underlying spatial correlation process u .

$$K_s(h) = K_a(h) + \sigma_e^2 I(h = 0) \tag{5.1}$$

where h is the separation distance between the observation points. I is a binary function where $I = 1$ when $h = 0$ and otherwise $I = 0$. Then, Eq. (5.1) can be elaborated to include σ_e^2 in the covariance structure of the spatial model.

$$K_s(h) = \begin{bmatrix} K_a(h) + \sigma_e^2 & K_a(h) \\ K_a(h) & K_a(h) + \sigma_e^2 \end{bmatrix} \tag{5.2}$$

Eq. (5.1) enables the predictor to “filter out” the measurement error from the data. Then the predictions at sampled locations will be measurement error free estimates of the data (Eq. (6)).

$$a(x_i) = m(x_i)\hat{\beta} + k(x_i)^T K^{-1} (S - M\hat{\beta}) \tag{6}$$

Once this covariance is determined, it can be used to predict the values at un-sampled locations x_0 . The ordinary kriging predictor can be written as (Cressie and Wikle, 2011).

$$a(x_0) = m(x_0)\hat{\beta} + k(x_0)^T K^{-1} (S - M\hat{\beta}) \tag{7}$$

$a(x_0)$ is the vector of predicted soil carbon at N un-sampled locations m is the $N \times p$ design matrix with p fixed effects and k is the covariance matrix between x_i and x_0 .

And the prediction variance given by:

$$\tau^2 = K_a(x_0, x_0) - k_a(x_0)^T K^{-1} k_a(x_0) \tag{8}$$

Hence, when predicting at sampled locations with known σ_e^2 , the proposed method yields smoother, error free predictions with lower prediction variance. When predicting at un-sampled locations, predictions will be identical whether measurement error is acknowledged or not. However, prediction variance will be higher when the measurement error is ignored. If all data points share a common σ_e^2 , the prediction variance will be lowered by an amount of σ_e^2 when the errors are accounted for. Since our soil carbon data are sourced from three different sources, the respective prediction variance will not be lowered by exactly σ_e^2 . This clearly shows how the uncertainty of predictions reduces when the measurement error is accounted for. A more detailed theoretical explanation about filtering the measurement errors of spatial data using aforementioned technique can be found in Cressie (1991) and Cressie and Wikle (2011).

Filtered kriging or FK (Cressie, 1991; Schabenberger and Gotway, 2017; Waller and Gotway, 2004) involves a similar technique of filtering out the measurement error to achieve noise free predictions. Filtered kriging requires a known σ_e^2 which is common across the spatial locations. Christensen (2011) proposed a heterogeneous filtered kriging (HFK) approach to address the heterogeneity of measurement errors in FK. The main difference between the LMM and FK is, LMM includes σ_e^2 in the covariance structure while in FK, σ_e^2 is included in the variogram of the kriging system. Although these parameter estimation techniques are different, both methods ultimately yield similar results. Table 1 compares these two methods.

3. Methods

Fig. 1 shows a flow diagram of the methodology. Each step will be discussed in the following sections.

3.1. Study area

The study area is situated in the Lower Hunter Valley, NSW, Australia. The area is known specifically as the Hunter Wine Country Private Irrigation District (HWCPID). The district has an area of approximately 220 km². The area experiences a temperate climate, with warm humid summers and relatively cool winters. It receives a uniformly distributed rainfall with an average annual amount of 740 mm. The

Table 1
Comparison of LMM and HFK.

	LMM	Kriging
Model	$S(x_i) = M\beta + Wu + e(x_i) =$ S is the vector of n observations, M is the $n \times p$ design matrix that associates with each value of p fixed effects, and β is vector of p fixed effect coefficients. u is the vector of q random effects which is associated with the n observations by $n \times q$ design matrix W . $u \sim N(0, G)$, $e \sim N(0, \sigma^2)$ and $cov(u, e) = 0$ Where G is the covariance matrix of u and σ^2 is the variance of e Maximised joint distribution of s and u gives	$S(x_i) = a(x_i) + e(x_i)$ $S(x_i)$ is the observed spatial process, $S(a_i)$ is unobservable spatial process. $e(a_i)$ is the error term as in LMM
Prediction model	$C \begin{bmatrix} \hat{\beta} \\ \hat{u} \end{bmatrix} = \begin{bmatrix} Ms \\ W^T s \end{bmatrix}$ Where $C = \begin{bmatrix} M^T M & M^T W \\ W^T M & W^T W + \xi^{-1} G^{-1} \end{bmatrix}$ ξ^{-1} is the ratio of variance of u to σ^2	$\begin{bmatrix} \lambda \\ \mu \end{bmatrix} = \begin{bmatrix} \hat{\sigma}_s^2 & 1 \\ 1' & 0 \end{bmatrix} \begin{bmatrix} \hat{\gamma}_{s,a(x_0)} \\ 1 \end{bmatrix}$ Where 1 is an n - vector of ones, the (i, j) element of the $n \times n$ matrix $\hat{\sigma}_s^2$ is $(\hat{\gamma}_s(x_i - x_j), \hat{\gamma}_{s,a(x_0)})$ is the cross semivariogram of s with $a(x_0)$ error-free unobservable value and μ is the Lagrange multiplier
Structure of the Random effect corrected for the σ_e^2	Covariance function $K_s = K_a + \sigma_e^2 I, h = 0$	Variogram $\hat{\gamma}_{s,a(x_0)} = \hat{\gamma}_s - \frac{1}{n} \sum_{i=1}^n \sigma_e^2(s_i), h \neq 0$
Prediction error variance	$\tau^2 = K_a(x_0, x_0) - k_a(x_0)^T K^{-1} k_a(x_0)$ Where $k_a(x_0) = cov(a(x_0), a(x_i))$ and	$\tau^2 = \lambda^T \hat{\gamma}_{s,a(x_0)} + \mu$
Parameter estimation	ML, REML or MCMC on the data, maximising a loglikelihood function (Cressie and Wikle, 2011)	Method of moments calculation for variogram and fitting via nonlinear least squares (Christensen, 2011)

HWCPID has an undulating topography with hills ascending to the South-West (Fig. 2a). The underlying geology is comprised of predominantly Early Permian siltstones, marl and some minor sandstone and Late Permian siltstones, Middle Permian conglomerates, sandstones and siltstones in minor amounts (Hawley et al., 1995; Malone et al., 2016). The HWCPID is mainly occupied by viticultural enterprises, followed by dry land grazing systems.

3.2. Data

The soil carbon data consists of 'data' collected between the years of 2001 and 2015 during the annual soil surveys carried out by the students of the University of Sydney soil sciences group, and the data from Malone et al. (2011) and Odgers et al. (2011). The term 'soil carbon' in this research is analogous to the total carbon content in the soil. Soil samples were collected from the topsoil (0–10 cm) and subsoil (40–50 cm). As the soil carbon data were derived from several years of surveys, the method of soil carbon measurement also varied. Methods included laboratory analysis using dry combustion method, and spectrally inferred from NIR and MIR diffuse reflectance measurements. Dry combustion of the soil samples was done using an ElementarVario Max CNS macro elemental analyser (Elementar Analysensysteme GmbH, Hanau, Germany) where the carbon content is determined by the loss on ignition at 400 °C (Zobeck et al., 2013). The standard deviation of the soil carbon measurement of the ElementarVario Max CNS analyser is 0.001–0.004 g 100 g⁻¹ based on standard soil samples.

The estimation of soil carbon content using infrared spectroscopy is done based on the absorption spectrum which is produced after scanning the soil sample with an analytical spectral instrument. The absorption spectrum has a characteristic shape produced based on the constituents of the soil. The spectrum is then used to infer the soil carbon content via calibration models. NIR spectroscopic measurements were made using an Agrispec portable spectrophotometer with a contact probe attachment (Analytical Spectral Devices, Boulder, Colorado). Bruker TENSOR 37 Fourier Transform (FT) mid-infrared (MIR) spectrometer was used to measure the MIR spectral reflectance of soil samples. The collected NIR/MIR spectra were preprocessed to remove the noise, followed by normalising before using them for the calibrations. Calibration models were derived using a regression tree method called Cubist (Minasny and McBratney, 2006;

Quinlan, 1992), where spectral data is linked with the soil carbon content measured via the dry combustion method. The calibration data came from a library of 316 soil profile samples from the wheatbelt of southern NSW and northern Victoria (Geeves et al., 1995). See Minasny et al. (2008) for the MIR spectra calibration model.

Some sampling points of the study area consisted of more than one type of measurement for soil carbon. Accordingly, some data points contained all three types of measurement: laboratory analysis using dry combustion (C_{ea}), NIR-inferred and MIR-inferred measurements. Some sampling points had only two types of measurements: either C_{ea} and MIR or C_{ea} and NIR, while the rest of the sampling points consisted of only one measurement type.

Altogether, there were 1679 soil samples for the top 0–10 cm soil layer. The observed data consisted of 681 C_{ea} measured values, 266 NIR inferred values and 732 MIR inferred values. There was a total of 1129 samples for the 40–50 cm subsurface layer. There were 43 C_{ea} measured values, 767 NIR inferred values and 319 MIR inferred values. The total carbon content was measured in g 100 g⁻¹ of soil (Fig. 2b). A detailed analysis of data emphasizing spatial and temporal distribution of measured carbon is presented in Appendix A.

3.2.1. Data pre-processing

Measured soil carbon concentration data had a skewed distribution for all methods, resulting in the need to transform it via a square root transformation to approximate a normal distribution. Then a linear relationship was developed between the values of standard dry combustion technique (CNS) and NIR, and MIR. These relationships were used for bias correction at sampling points where there were no CNS measurements. Measurement errors for NIR and MIR data were calculated as.

$$\epsilon_{(NIR/MIR)(x_i)} = S_{Cea(x_i)} - S_{NIR/MIR(x_i)} \quad (9)$$

where $S_{Cea(x_i)}$ is the measured value at a location x and, while $S_{NIR/MIR(x_i)}$ denotes the NIR/MIR measured value at the same location. Then the measurement error variance for NIR/MIR data is given by

$$\sigma_{\epsilon(NIR/MIR)}^2 = \frac{\sum \{ (\epsilon_{(NIR/MIR)} - \bar{\epsilon}_{(NIR/MIR)})^2 \}}{n} \quad (10)$$

where $\bar{\epsilon}_{(NIR/MIR)}$ denotes the mean error and n is the number of observations for NIR/MIR data.

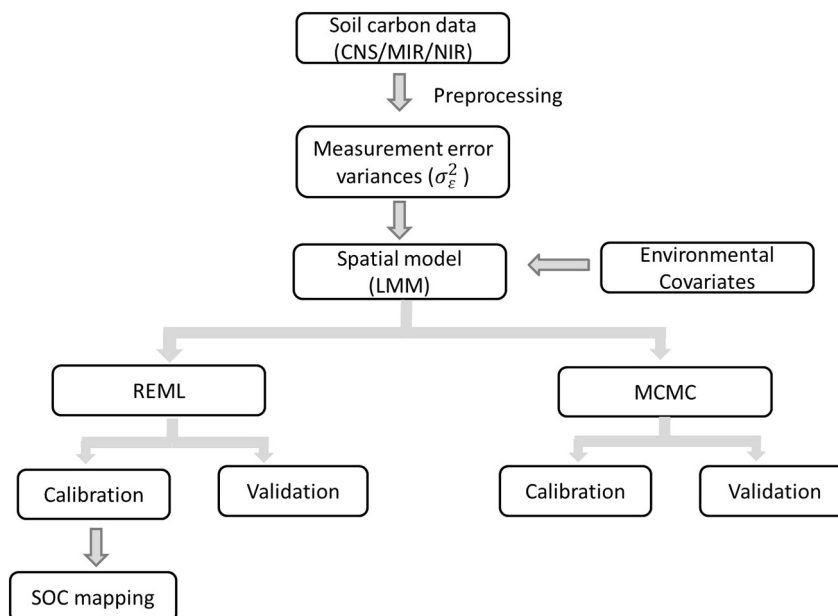


Fig. 1. Methodology flow diagram.

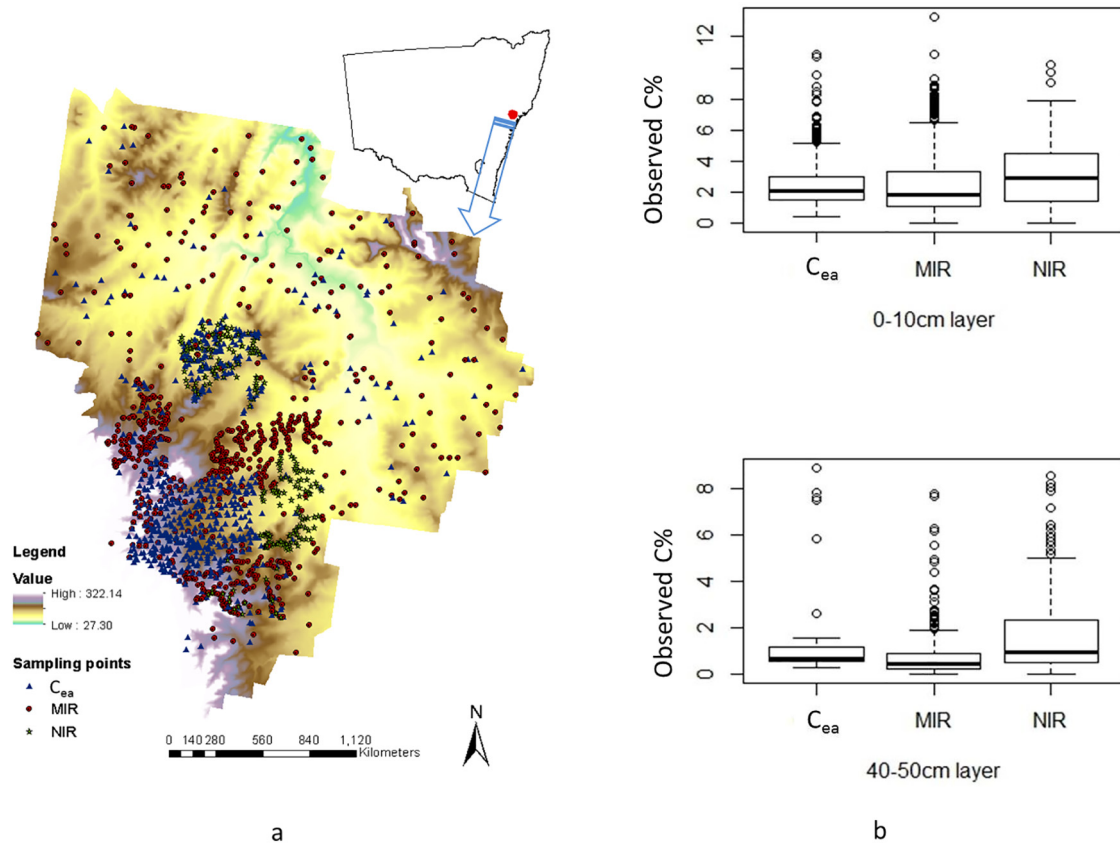


Fig. 2. (a). The spatial distribution of observation points for the 0–10 cm layer. (b). The composition of observation data (C_{ea}) measured using CNS Vario Max and NIR/MIR spectral inference for the two soil layers.

The calculated σ_e^2 was 0.21 and 0.07 for NIR and MIR respectively for the 0–10 cm layer, while for the 40–50 cm layer the error variance was 0.22 and 0.17 respectively for NIR and MIR instruments.

70% of the data from each layer was randomly selected for model training, with the remaining 30% allocated for validation. To ensure there was no co-located data for a sampling location, we selected the most accurate type of measurement that was available. The ranking for accuracy was 1) dry combustion measurement, 2) MIR spectrally inferred measurement, and 3) NIR spectrally inferred measurement.

3.3. Spatial model of soil carbon

Stepwise regression and correlation coefficients were used to select the most important environmental covariates for ‘scorpan’ modelling (McBratney et al., 2003) of soil carbon content. Covariate selection was done using a covariate pool which consisted of 15 covariates: Easting, northing, aspect direction, Landsat 5 ETM bands 1,2,3,4,5, and 7, catchment area, Digital Elevation Model (DEM), land cover, light isolation, normalized difference vegetation index (NDVI), plan curvature, profile curvature and slope direction. The selection was done through the analysis of correlation coefficients and the stepwise regression method. Landsat band 5 (NIR band), DEM, and NDVI were statistically significant for soil carbon predictions over the study area. A linear mixed model (LMM) was fitted to the 0–10 cm and 40–50 cm data for estimating the soil carbon content across the study area. The LMM model is given as

$$S(x_i) = \beta_0 + \beta_1 \text{Landsat band 5} + \beta_2 \text{Filled DEM} + \beta_3 \text{NDVI} + \mathbf{u} + \mathbf{e}(x_i) \quad (11)$$

where $S(x_i)$ is the observed value of soil carbon and β_0 , β_1 , β_2 , and β_3 , are parameters of the fixed effects.

Model calibration was done using REML and Bayesian MCMC models, and the parameters were estimated for both scenarios: inclusion and exclusion of measurement error variance. Then we selected the best performing calibration technique as confirmed by the validation dataset, to implement the spatial prediction of soil carbon at unsampled locations i.e. digital soil mapping.

3.3.1. Estimation of model parameters via REML

In geostatistics, the linear spatial model parameters are usually inferred using the standard ordinary least squares method. Then the variogram structure of the model residuals is estimated separately using the method-of-moments procedure. This method underestimates overall variability, and also the spatial structure estimates maybe similarly inaccurate. REML can be used as a solution to this problem since it provides unbiased and robust estimates of the parameters directly from the data (Minasny and McBratney, 2007).

In the REML approach, an optimization algorithm (Nelder-Mead Simplex method) was used to find the parameters that maximise the following log-likelihood function:

$$L(\theta|\mathbf{y}) = \frac{n-p}{2} \log(2\pi) - \frac{1}{2} \log|\mathbf{K}| - \frac{1}{2} \log|\mathbf{W}| - \frac{1}{2} \mathbf{y}^T \mathbf{K}^{-1} \mathbf{Q} \mathbf{y} \quad (12)$$

where $\theta = \beta \mid \phi$ denotes the vector of parameters to be estimated for the linear spatial model, β is the linear spatial trend and $\phi = [c_0, c_1, r, v, \sigma_e^2]$ defines parameters of the covariance function. \mathbf{M} is the design matrix of the trend function and $\mathbf{W} = \mathbf{M}^T \mathbf{K}^{-1} \mathbf{M}$, $\mathbf{Q} = \mathbf{I} - \mathbf{M} \mathbf{W}^{-1} \mathbf{M}^T \mathbf{K}^{-1}$ and $\mathbf{y} = \mathbf{T} \mathbf{S}$, denote a stationary data increments transformation of \mathbf{S} with transformation matrix $\mathbf{T} = \mathbf{I} - \mathbf{M}(\mathbf{M}^T \mathbf{M})^{-1} \mathbf{M}^T$.

Estimation of the REML variogram parameters using the profile likelihood method can be summarised as follows:

- Choose a set of values for v and r
- Maximise the log-likelihood by estimating c_0 and c_1 for each combination of v and r using an optimization algorithm.
- Plot the likelihood L , against v and r for finding the respective v and r values that have the largest L (log-likelihood) value.

A detailed explanation of REML-EBLUP for Matérn covariance function can be found in Lark and Cullis (2004) and Minasny and McBratney (2007).

Accordingly, REML was used to generate the spatial model parameters for the two soil layers separately. Models for each soil layer were trained with added measurement error variance and without measurement error variance. As explained earlier, the measurement error variance was included in the diagonal of the covariance matrix for the prior approach. REML was applied with initial guesses for c_0 and c_1 , and then each model was optimised via a profile-likelihood method using a combination of v and r values. The parameter combination that furnished the maximum likelihood (Eq. (12)) was then selected.

3.3.2. Bayesian inference using MCMC simulation

Unlike classical statistics, Bayesian inference treats both parameters (of the statistical model) and the sample data as random, and draws conclusions about the population based on those samples. The Bayes theorem states that the posterior probability, $p(\theta|\bar{S})$ of a hypothesis (θ) is proportional to the product of likelihood $L(\theta|\bar{S})$ and the prior probability, $p(\theta)$ of the hypothesis given the new observations \bar{S} or $p(\theta|\bar{S}) \propto p(\theta) L(\theta|\bar{S})$. In this context, θ is the spatial model (Vrugt, 2016). Thus, it captures the probability distribution of posterior parameters from the likelihood and probability of the distribution of prior parameters too.

However, for practical geostatistical problems which have a high dimensionality, it is near-impossible to obtain the posterior distribution through analytical means or by analytical approximation. Only recently a new analytical approximation via Integrated Nested Laplace Approximation (INLA) has been proposed (Huang et al., 2017; Poggio et al., 2016). Commonly, iterative approximation methods such as Markov Chain Monte Carlo (MCMC) are used to approximate the target distribution (Minasny et al., 2011). The differential evaluation adaptive metropolis (DREAM), an algorithm proposed by Vrugt et al. (2008) is a multi-chain MCMC simulation algorithm that automatically tunes the scale and orientation of the proposed distribution en route to the target distribution. In addition, DREAM has an efficient sampling strategy on high-dimensional and multi-modal posterior distributions (Vrugt, 2016). DREAM implementation can be summarised as follows:

- Define the parameter ranges, initial sampling distribution and likelihood function to compare model prediction with the observation data.
- List the upper and lower bounds of the parameters and use Latin Hypercube sampling over d dimensional hypercube to initialize the initial points of the N number of Markov chains.
- Optimise the log-likelihood function:

$$L(\theta|\mathbf{S}) = \frac{n}{2} \log(2\pi) + \frac{1}{2} \log|\mathbf{K}| - \frac{1}{2} (\mathbf{S} - \mathbf{M}\boldsymbol{\beta})^T \mathbf{K}^{-1} (\mathbf{S} - \mathbf{M}\boldsymbol{\beta}) \quad (13)$$

where $\boldsymbol{\theta} = [\boldsymbol{\beta} \mid \boldsymbol{\phi}]$ denotes the vector of parameters to be estimated for the linear spatial model as in Eq. (12).

More detailed theoretical and technical explanation about DREAM can be found in Vrugt (2016). Minasny et al. (2011) also provide a detailed discussion about its application in soil geostatistics.

Accordingly, four calibration models were derived using DREAM for the two soil layers for both scenarios; with added σ_e^2 and without σ_e^2 . We also explored the possibility of defining the posterior distribution of σ_e^2

of NIR and MIR predictions directly from the data. If the posterior distribution of σ_e^2 can be successfully defined, MCMC can be used to determine σ_e^2 , when σ_e^2 is not explicitly quantified.

3.4. Model validation

The calibrated models for each soil layer and for each scenario were validated using a subset of the data. With these data, models were compared using root mean squared error (RMSE) and Lins' concordance correlation coefficient (CCC) (Lin, 1989).

3.4.1. Uncertainty assessment

The predictions from a spatial model are done with a certain degree of uncertainty. Uncertainty can be simply defined as the variability of model predictions. Parameter uncertainty and structural uncertainty are the two major sources of uncertainty of a spatial model. Parameter uncertainty is caused by the uncertainty of model parameters and the structural uncertainty caused by approximate or incomplete treatment of the spatial relationship of the process being modelled (McKay, 1995).

Prediction variance is considered as a measure of uncertainty of model predictions caused by the uncertainty of input parameters of the model. We calculated the variance of the model predictions to see how the models behave when the uncertainty of data is included or excluded. Prediction variance is given by,

$$\sigma_x^2 = \frac{\sum_{i=1}^n \{\hat{S}(x) - \hat{\mu}(x)\}^2}{n} \quad (14)$$

where $\hat{S}(x)$ is the predicted value and $\hat{\mu}(x)$ is the mean of predicted values.

The standardised squared deviation $SSD(x)$ measures a prediction model's goodness of fit. It is an indication of the quality of estimate of the prediction variance. A value closer to 1 for mean $SSD(x)$ indicates a good estimate (Voltz and Webster, 1990) and a median value closer to 0.455 (Lark, 2000) symbolises kriging with a correct variogram:

$$SSD(x) = \frac{\{S(x) - \hat{S}(x)\}^2}{\sigma_x^2} \quad (15)$$

where $S(x)$ is the measured value, $\hat{S}(x)$ denotes the predicted value with variance σ_x^2 .

In the REML approach, prediction variance at each prediction point was calculated along with the predicted value. Similarly, for MCMC approach, prediction variance was calculated at each prediction point given the realisations of the last 1000 MCMC simulations, and the predicted value was taken as the average of all simulated predicted values.

3.5. Mapping the carbon content

Finally the best performing REML-inferred models were selected to predict the carbon content to a 25×25 m grid over study area. The maps were produced using trained models for with (a) and without (b) the inclusion of σ_e^2 scenarios for both 0–10 cm and 40–50 cm soil layers. The spatial predictions for these two scenarios were subsequently compared by subtracting (b) from (a). Also the prediction uncertainties of two scenarios were compared in a similar manner.

4. Results & discussion

This study focuses on filtering measurement errors or observed uncertainty of data via expressing the estimated uncertainty in the spatial model. The study compares the accuracy of a spatial model when the measurement error variance is included and when it is excluded. In any research field, measured data is affected by some degree of uncertainty. For example ecological data are almost always observed

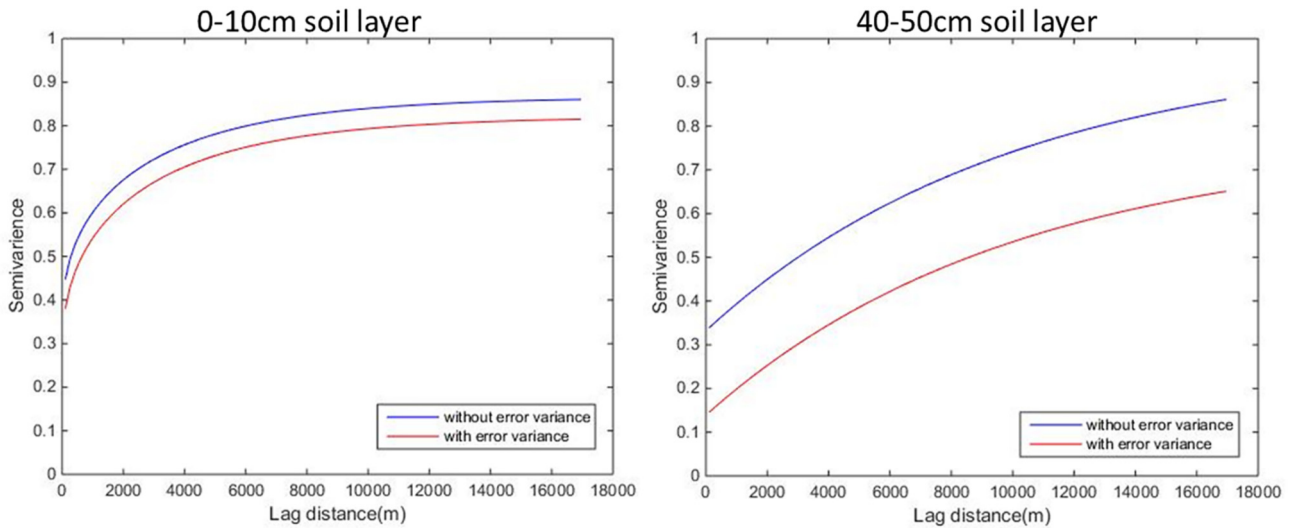


Fig. 3. REML estimated variograms for the scenarios with and without inclusion of σ_e^2 for the two soil layers.

incompletely with large and unknown amounts of measurement error or data uncertainty (Cressie et al., 2009).

4.1. REML inferred variogram parameters

In this study, the REML approach was used to find the optimum values that maximise the log-likelihood (Eq. (12)). We compared the aforementioned scenarios; with σ_e^2 and without σ_e^2 using derived variogram structures. For the top 0–10 cm layer, among the thirty six combinations of ν and r values, 0.05 was the optimum ν while the range was around 2500 to 5000 m (Fig. 3). In the modelling exercise without the inclusion of σ_e^2 , the optimum range is slightly reduced to 1500 m while the smoothness parameter (ν) increased to 0.1. Very low ν values and short ranges suggest that the soil carbon process at

the study site is a highly variable spatial process. In other words, a presence of rapid variations of soil carbon content at small lags can be observed. With the inclusion of σ_e^2 the value of ν has doubled suggesting the addition of σ_e^2 increased the smoothness of the spatial process of soil carbon.

The variogram parameters of the 40–50 cm layer had a similar optimum ($\nu = 0.2$) and a similar range of 2000–4000 m for both scenarios. The smaller ν value also indicated that a rapid variation in soil carbon content occurs at small lags. However, the variation is comparatively low compared to that of the top 0–10 cm layer. Variograms of the two scenarios for both soil layers show a similar structure apart from the different sill values. The total variance is reduced with the added σ_e^2 for both layers, and the nugget (c_0) value decreased with the inclusion of σ_e^2 in

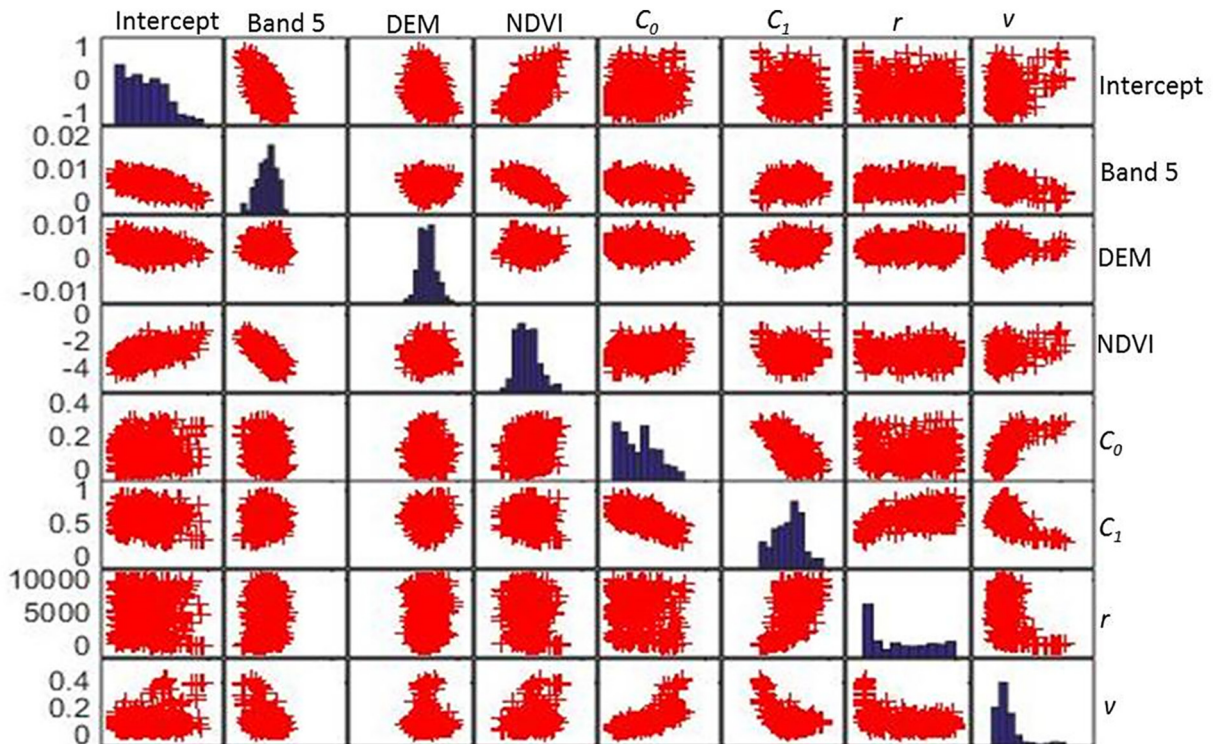


Fig. 4. Marginal distributions (diagonal) and binary scatter plots (off-diagonal) of posterior parameters for 0–10 cm soil carbon content.

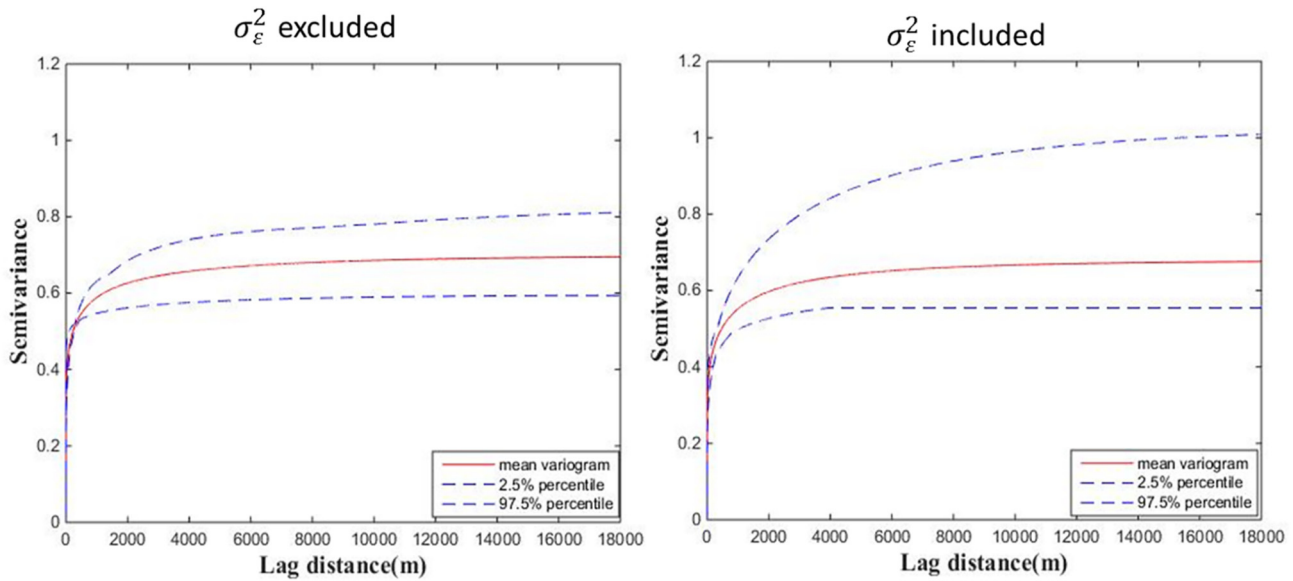


Fig. 5. MCMC estimated variograms without and with inclusion of σ_ϵ^2 for 0–10 cm soil layer with uncertainty levels.

modelling the variogram structure. Clark (2010) also found that the apparent estimation of variance can be significantly reduced by acknowledging the measurement error.

4.2. MCMC parameter estimation

The marginal distributions of Matérn variogram parameters along with the linear trend model parameters, including the two-dimensional scatter plots of posterior samples are illustrated in Fig. 4. The probability distribution functions (pdfs) of the linear model parameters appear to be well defined, and approximate a Gaussian distribution. There is a significant correlation between the intercept and the parameters of covariates; Band 5 and NDVI.

The near normal marginal distribution of c_0 and c_1 of variogram parameters appear to be well defined. However, the pdf of r extended

over the entire prior range, implying that the pdf of r is poorly defined. Also, there were significant scatter correlations between variogram parameters. c_0 and c_1 were positively correlated, and the smoothness parameter (ν) was positively correlated with the nugget (c_0) while r and c_1 were also positively correlated. These correlations between variogram parameters add significant uncertainty to variogram parameters.

The modelling with σ_ϵ^2 displayed similar marginal distributions of model parameters while the range was poorly defined for the topsoil layer. Correlation between trend models and variogram parameters for both soil layers displayed a similar pattern for both scenarios of testing; with and without σ_ϵ^2 .

Variogram structures from both scenarios were well defined by the MCMC simulations. Similar to the REML approach, modelling with σ_ϵ^2 reduced the total variation of the variogram and the nugget value (Fig. 5). Unlike REML, the uncertainty of the variogram models

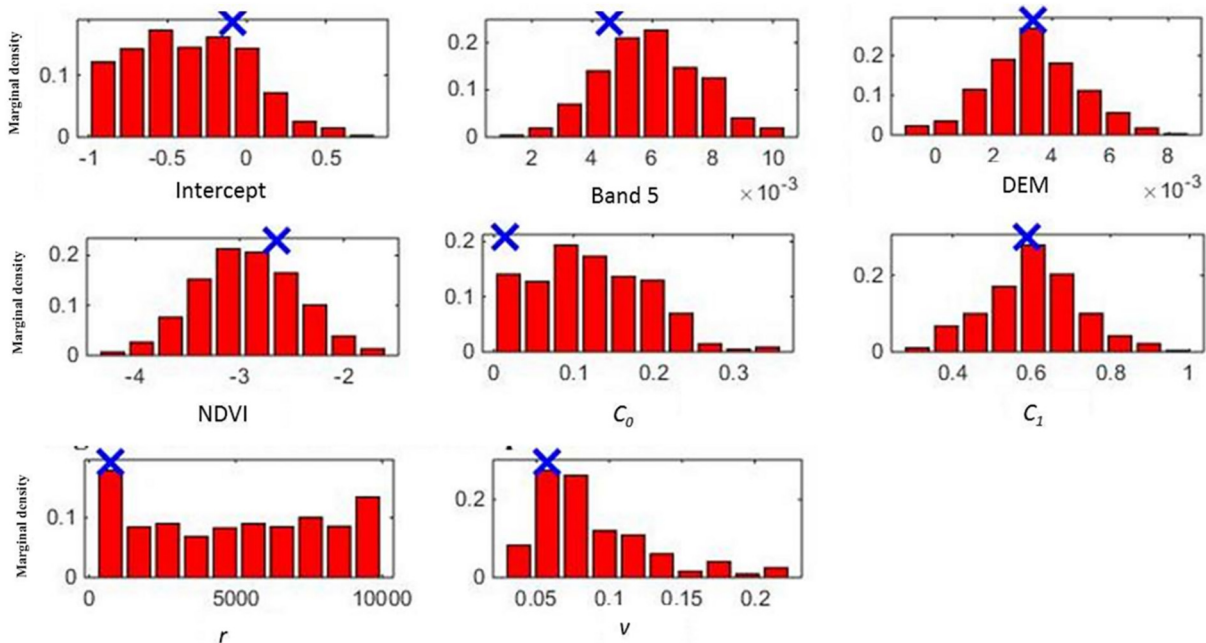


Fig. 6. Marginal distributions of posterior parameters for 40–50 cm soil carbon content without added σ_ϵ^2 . (For interpretation of the references to colour in this figure, the reader is referred to the web version of this article.)

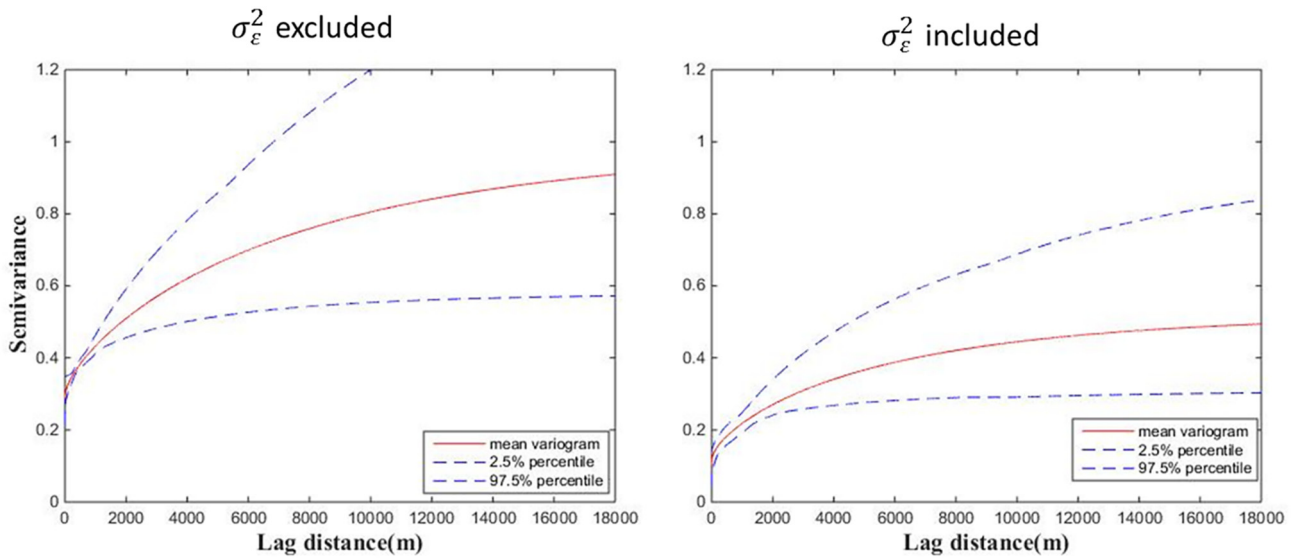


Fig. 7. MCMC estimated variograms without and with inclusion of σ_{ϵ}^2 for 40–50 cm soil layer with uncertainty levels.

could be estimated through the MCMC simulation which are expressed by 2.5 and 97.5 percentile values of the simulated distributions in Tables 2a and 2b. When the mean parameter values from MCMC were compared with the REML values, the total variation was reduced.

Fig. 6 presents the histograms of the marginal posterior distributions of the linear and variogram models' parameters for the 40–50 cm layer. The maximum a-posteriori probability (MAP) of each model parameter is depicted by the (blue) cross in each histogram. These values are the mode of the posterior distribution of each parameter.

As per the top layer, the linear model parameters appeared rather well defined and exhibit approximate Gaussian distribution. However, the posterior variogram parameters extend through the entire range of the prior parameters, which is indicative of the variogram parameters being not well defined. This relatively large parameter uncertainty can lead to unrealistically large prediction uncertainties. The presence of outliers along with the correlations between variogram parameters can cause this type of behaviour, and is also seen in the exponentially increasing 97.5 percentile of the variogram (Fig. 7a). Noticeably, the sill value is reduced by approximately 50%,

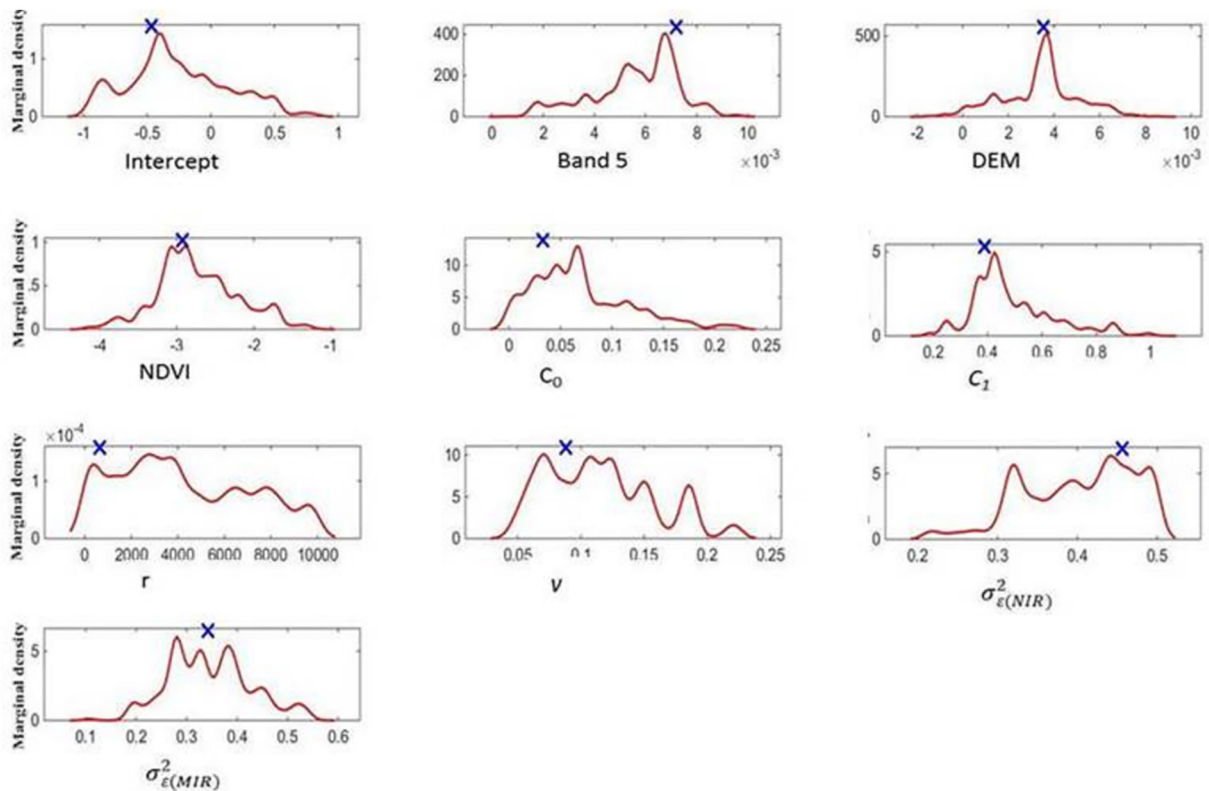


Fig. 8. Empirical probability density functions of posterior parameters for 0–10 cm soil carbon content. (Blue) the cross indicates the MAP value of each parameter. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Table 2a

Posterior parameters of the linear spatial model and the variogram models for 0–10 cm layer. β represents coefficients of the linear trend. c_0 , C_1 , and r are the variogram parameters; nugget, sill, and range respectively. v is the smoothness parameter of the covariance function.

Method	β_0	β_1	β_2	β_3	β_4	C_0	C_1	r	v
REML	-0.488	0.006	0.003	0.004	-3.159	0.340	0.554	5000.0	0.200
REML + σ_e^2	-0.482	0.006	0.004	0.003	-2.960	0.267	0.526	5000.0	0.200
MCMC- 2.5 percentile	-0.985	0.002	0.001	-0.014	-3.896	0.015	0.319	659.4	0.021
MCMC mean	-0.427	0.006	0.004	0.010	-2.916	0.143	0.556	5030.2	0.091
MCMC- 97.5 percentile	0.362	0.008	0.006	0.027	-1.609	0.280	0.721	9833.9	0.174
MCMC + σ_e^2 -2.5 percentile	-0.921	0.005	0.002	-0.031	-3.583	0.007	0.251	572.9	0.054
MCMC + σ_e^2 - mean	-0.302	0.006	0.004	0.008	-2.813	0.104	0.623	5041.5	0.095
MCMC + σ_e^2 -97.5 percentile	-0.157	0.010	0.006	0.027	-2.444	0.342	0.979	9177.4	0.248

Table 2b

Posterior parameters of the linear spatial model and the variogram models for 40–50 cm layer. β represents coefficients of the linear trend. C_0 , C_1 , and r are the variogram parameters; nugget, sill, and range respectively. v is the smoothness parameter of the covariance function.

Method	β_0	β_1	β_2	β_4	C_0	C_1	r	v
REML	0.563	-0.001	0.003	-0.420	0.329	0.370	5000.0	0.500
REML + σ_e^2	0.599	-0.002	0.003	-0.319	0.137	0.358	5000.0	0.500
MCMC 2.5-percentile	-0.778	-0.003	0.000	0.985	0.216	0.296	1964.4	0.169
MCMC- mean	0.359	-0.001	0.003	-0.315	0.289	0.694	6616.8	0.380
MCMC- 97.5 percentile	0.910	0.002	0.060	0.224	0.389	1.443	9778.3	0.690
MCMC + σ_e^2 2.5-percentile	-0.559	-0.004	0.000	1.478	0.031	0.159	1294.7	0.150
MCMC + σ_e^2 mean	0.344	-0.001	0.004	0.516	0.111	0.475	5786.7	0.425
MCMC + σ_e^2 97.5 percentile	-0.962	0.002	0.007	0.379	0.163	1.419	9822.8	0.929

and the uncertainty levels are considerably reduced with the inclusion of σ_e^2 (Fig. 7b).

4.3. Estimating error variance through MCMC simulation

The possibility of defining the pdf of error variance parameters is also examined. The empirical probability density functions of the posterior parameters including the error variance parameters $\sigma_{e(MIR)}^2$ and $\sigma_{e(NIR)}^2$ for topsoil carbon content are given in Fig. 8. The marginal distribution of $\sigma_{e(MIR)}^2$ was relatively well defined here, with MAP values of 0.35 and 0.47 for the top layer and 0.14 and 0.23 for the bottom layer for $\sigma_{e(MIR)}^2$ and $\sigma_{e(NIR)}^2$ respectively. The respective measured values were 0.07, 0.21 and 0.17, 0.22. These results indicate that there is a possibility of estimating the σ_e^2 through the MCMC simulation.

Tables 2a and 2b illustrate the posterior parameters of the linear trend model and the variogram parameters. The MCMC derived posterior parameters display a significant uncertainty. It is difficult to compare the optimised parameters of each model since they are derived from different likelihood functions. However, the optimised linear model parameters of REML and the mean values of the MCMC simulations were quite similar between the scenarios for the top soil layer (Table 2a). The variogram parameters differed between the scenarios and between the models. There is a considerable difference in the c_0 and v of the optimised variogram parameters while the range values of all models were quite similar. With the inclusion of σ_e^2 , the c_0 value was reduced due to filtering out σ_e^2 from the nugget.

The optimised linear model parameters from REML and the means of the MCMC simulations were quite similar for all models tested for the 40–50 cm layer (Table 2b). The c_1 variogram parameter values of all models were quite similar and the other parameters c_0 , r , v were significantly different between models. The spatial range parameter of the MCMC approach was almost half of the REML derived estimate.

4.4. Comparing model performance

4.4.1. Prediction accuracy

Tables 3a and 3b summarises the validation results of all models for the 0–10 cm and 40–50 cm layers. The MCMC results represent the

averages of 1000 simulations from the last 1000 MCMC parameter realisations. The RMSE and CCC values for all models were quite similar indicating that the differences between the prediction accuracy of the models were insignificant. Although the values slightly differ between the two spectral inferencing techniques, the RMSE and CCC values stayed the same, confirming the predictions from both scenarios were almost identical. The same statistics were calculated separately for samples which were measured using the lab-based measurements and spectrally inferred soil carbon values. The accuracy of all models was comparatively higher for the measured soil carbon (C_{ea}) than the NIR/MIR inferred carbon content.

When we consider the 40–50 cm validation results, overall the conclusions are similar to the top 0–10 cm layer. However, the CCC values for all modelling scenarios of the bottom layer were greater than the respective values of the upper layer. Usually the accuracy of carbon predictions decreases with increasing depth. However, this study produced contradictory results. This can be due to the environment in which the study is situated. In the study site, the sub-soil variation is driven by the presence of marl (loose, earthy deposits consisting chiefly of an intimate mixture of clay and calcium carbonate) rather than the

Table 3a

Comparison of validation results of REML-BLUP, MCMC methods with and without σ_e^2 for 0–10 cm layer. C_{ea} is the measured value using dry combustion.

Test Statistics	REML-EBLUP	REML-EBLUP + σ_e^2	MCMC	MCMC + σ_e^2
RMSE-all (C_{ea} , NIR, MIR)	0.20	0.20	0.19	0.19
RMSE- C_{ea}	0.05	0.05	0.11	0.11
RMSE- NIR and MIR	0.15	0.15	0.25	0.25
CCC-all (C_{ea} , NIR, MIR)	0.45	0.47	0.45	0.45
CCC-all - C_{ea}	0.44	0.55	0.46	0.47
CCC-all - NIR/MIR	0.45	0.47	0.45	0.45
Mean prediction variance	0.51	0.25	0.51	0.49
Mean SSD-all (C_{ea} , NIR, MIR)	0.39	0.80	0.39	0.40
Median SSD-all (C_{ea} , NIR, MIR)	0.10	0.21	0.11	0.11
Mean SSD- C_{ea}	0.22	0.45	0.23	0.23
Median SSD- C_{ea}	0.08	0.16	0.08	0.08
Mean SSD- NIR and MIR	0.50	1.08	0.53	0.52
Median SSD- NIR and MIR	0.15	0.32	0.17	0.16

Table 3b

Comparison of validation results of REML-BLUP, MCMC methods with and without σ_{ϵ}^2 for 40–50 cm layer. C_{ea} is the measured value using dry combustion.

Test Statistics	REML-EBLUP	REML-EBLUP + σ_{ϵ}^2	MCMC	MCMC + σ_{ϵ}^2
RMSE-all (C_{ea} ,NIR,MIR)	0.19	0.19	0.19	0.19
RMSE- C_{ea}	0.19	0.09	0.12	0.11
RMSE- NIR and MIR	0.19	0.19	0.20	0.19
CCC -all (C_{ea} ,NIR,MIR)	0.63	0.64	0.63	0.60
CCC - C_{ea}	0.87	0.90	0.88	0.85
CCC - NIR/MIR	0.60	0.62	0.61	0.58
Mean prediction variance	0.38	0.19	0.38	0.19
Mean SSD-all (C_{ea} ,NIR,MIR)	0.51	1.10	0.49	1.29
Median SSD-all (C_{ea} ,NIR,MIR)	0.17	0.35	0.16	0.42
Mean SSD- C_{ea}	0.32	0.62	0.30	0.92
Median SSD- C_{ea}	0.11	0.20	0.12	0.31
Mean SSD- NIR and MIR	0.51	1.04	0.50	1.30
Median SSD- NIR and MIR	0.17	0.36	0.17	0.44

other environmental factors used in this study. Thus, the carbon content in the subsurface layer appears to be mainly determined by a spatial random process, rather than being a reflection of the environmental covariates. This indicates that the measured soil carbon content is an indirect measure or proxy for the presence of sub-soil marl. Rather usefully, with the models used in this study, once the covariance structure is accurately estimated, the accuracy of the predictions increases. Carbon content in the top soil layer of the study site is closely related to environmental factors which make it difficult to fully capture the soil carbon variability through the deterministic model. There are always unaccounted relationships in the linear trend, and hence the prediction accuracies remain low.

4.4.2. Prediction uncertainty

For both REML and MCMC modelling approaches, the median and mean SSD values greatly improved (almost doubled) when σ_{ϵ}^2 was included in the spatial model. This is mainly due to the much lower prediction variance associated with the aforementioned scenario (Tables 3a, 3b). The prediction variance values show that when the measurement error is acknowledged, the prediction uncertainty nearly halved.

We also compared the reliability of the uncertainty of prediction estimates by calculating the percentage of predictions that occupy the prediction range within the defined 95% confidence limits for all tested models. For the REML approach, 96.6% of data were within the 95% confidence range while for the MCMC approach 96.8% was within the CI range. Thus, all approaches display more or less a similar outcome.

4.5. Comparing predictions at un-sampled locations

Since the REML approach produced comparable results to the more technically and computationally expensive MCMC techniques, we selected the former approach to predict the soil carbon content across the study area.

Fig. 9 illustrates the effect of filtering σ_{ϵ}^2 from the data. We drew 0.2% contours of the predicted carbon content from both methods to examine the smoothing effect when measurement error is acknowledged. When σ_{ϵ}^2 is ignored, the predictions are made using a more continuous variogram leading to intense contouring around the data points. By contrast, the σ_{ϵ}^2 filtered map provides more realistic and smoother predictions of soil carbon content.

Fig. 10 depicts the comparison of the spatial prediction of soil carbon over the study area for both modelling scenarios. Fig. 10a represents the soil carbon content when modelling with σ_{ϵ}^2 . Soil carbon

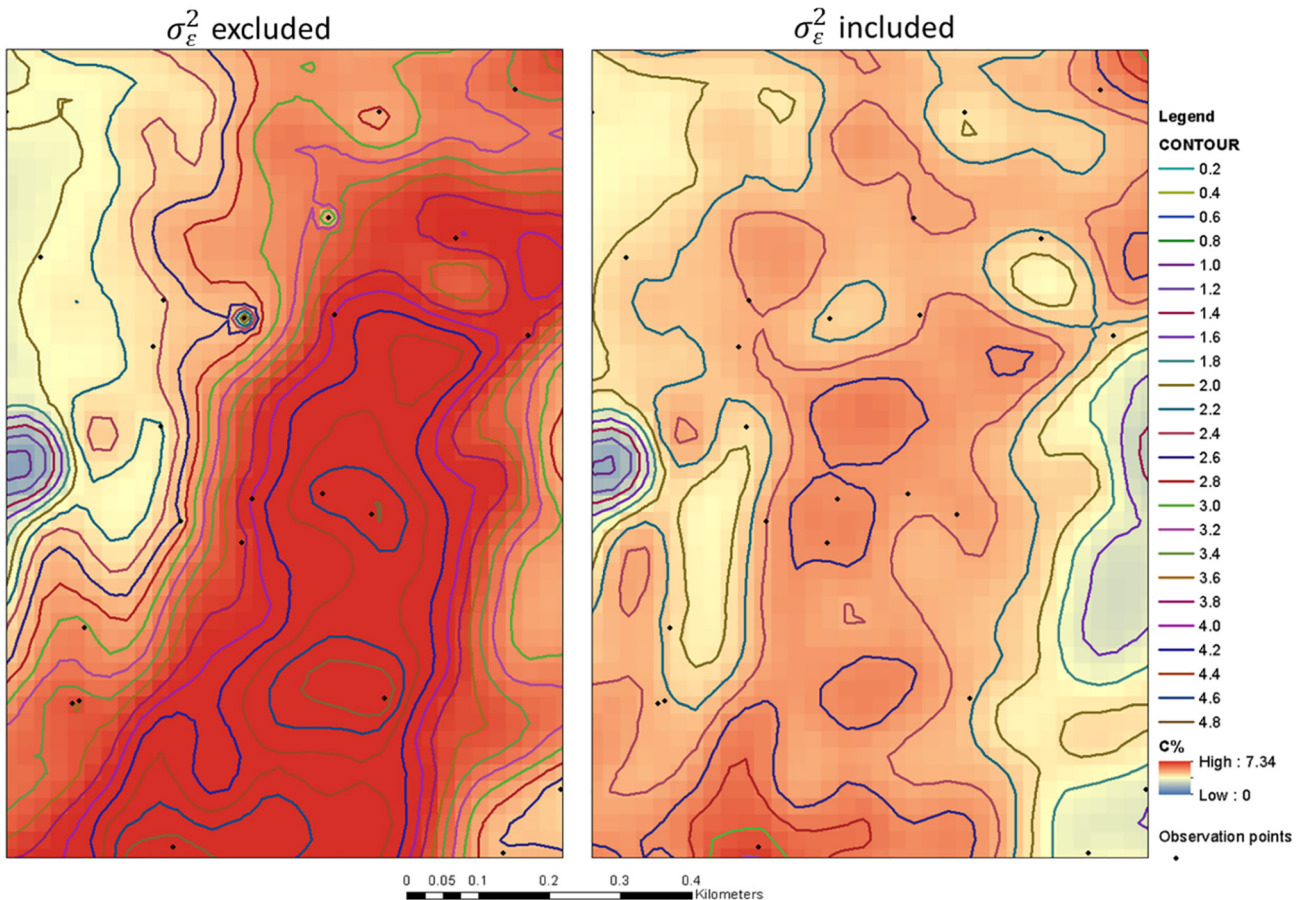


Fig. 9. 0.2% contouring of spatially predicted soil carbon for 0–10 cm layer. Figure shows smoother predictions around data points when the measurement error is acknowledged.

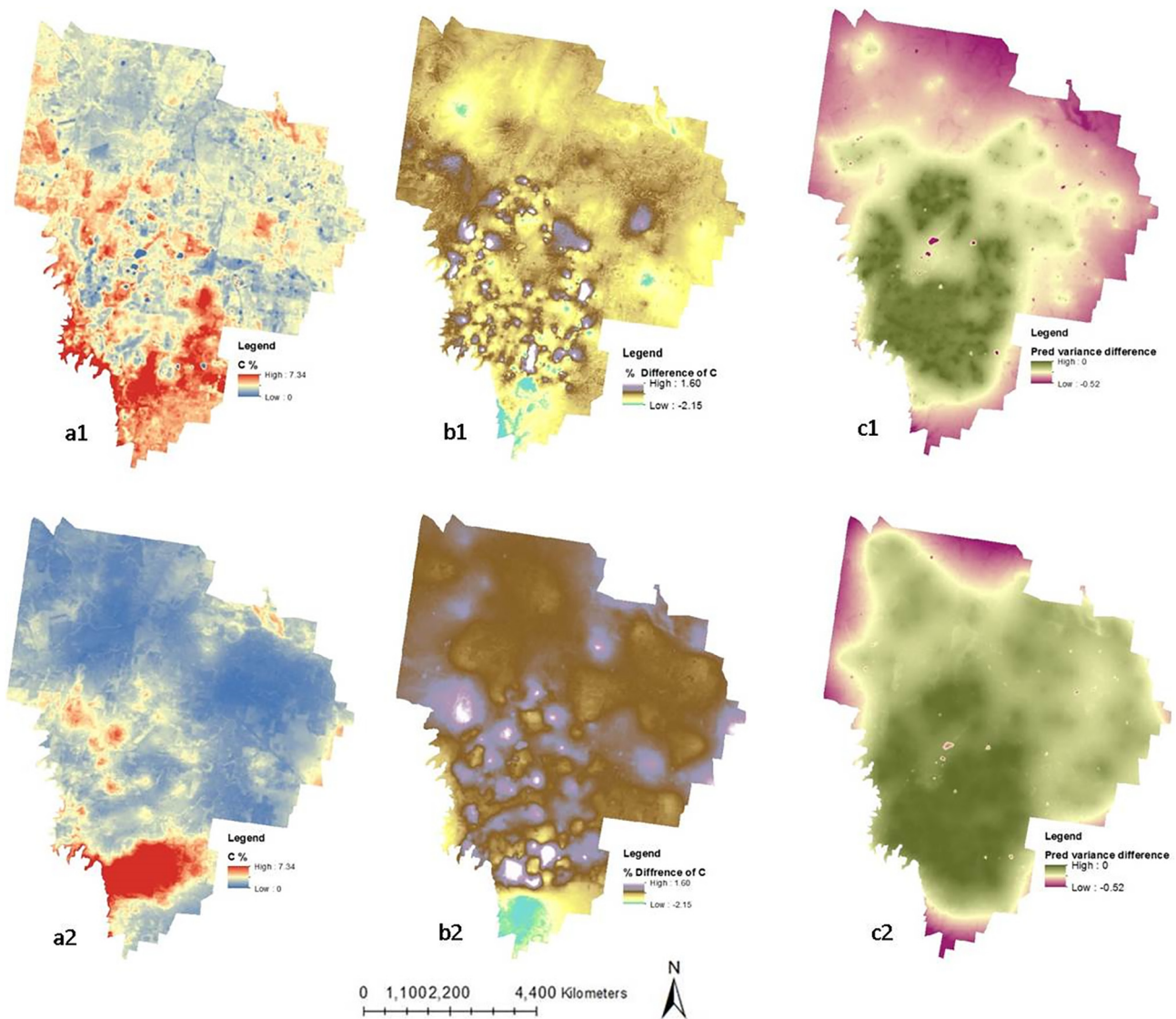


Fig. 10. REML-BLUP predictions and prediction variances of soil carbon for (1) 0–10 cm and for (2) 40–50 cm soil layers. (a) predictions for modelling with σ_e^2 , (b) the difference of soil carbon predictions with and without incorporating σ_e^2 . (c) difference between prediction variances between the two scenarios

content of the topsoil layer is closely related to the environmental covariates NDVI and the elevation of the study area. High carbon contents are mostly seen in highly elevated forested areas. High carbon content towards the south of the study area is caused by the presence of marl. Fig. 10b and c respectively are the differences between predictions and prediction uncertainties of both modelling scenarios. Negative values indicate higher corresponding cell values when σ_e^2 is ignored. If we used a common σ_e^2 for data points, theoretically, the difference between predictions of both scenarios should be zero. However, in this study we used different σ_e^2 for each data type leading to a difference between predictions. The colours towards the top of the colour legend indicate positive values closer to zero, whereas the colours towards the bottom of the colour legend indicate negative values closer to zero. Accordingly, soil carbon content of 0–10 cm soil layer is mostly over predicted when σ_e^2 is ignored. For the 40–50 cm soil depth, a substantial part of the study the corresponding map shows that the soil carbon content is slightly under predicted when σ_e^2 is ignored. The influence of environmental covariates on soil carbon content is minimal for the 40–50 cm soil depth and the prediction differences of this soil layer is more closer to zero than the top soil layer. Perhaps, the effect of environmental covariates has also contributed to non-zero prediction differences

between the two testing scenarios other than the difference between σ_e^2 among data points.

Fig. 10c shows the difference between prediction variances for the two scenarios; with and without inclusion of σ_e^2 for both soil layers. The difference is minimal in the vicinity of the observation points, and it gradually increases as distance increases from the points for both soil layers. Negative values indicate the exclusion of σ_e^2 has resulted in higher prediction uncertainty, but in contrast, the prediction variance have clearly reduced by the inclusion of σ_e^2 . This indicates that the filtering of measurement error from data yields a higher prediction certainty.

5. Conclusions

- The use of rapidly acquired spectroscopic measurements of soil attributes as input data in spatial modelling is rapidly growing. It is important to use this data appropriately. In short, measurement error should be accounted for in the digital soil mapping framework.
- Filtering measurement error from the data leads to filtering the σ_e^2 from the total variation (sill) of the variogram. The inclusion of error variance lowers the overall uncertainty of spatial predictions.

Acknowledging the measurement error is an effective way to improve confidence in prediction.

- MCMC can be used for estimating the distribution function of error variance parameters. This is an important finding that can be used in spatial modelling of soil to compute σ_e^2 estimated directly from data in the absence of laboratory accuracy comparisons.
- In terms of the accuracy of predictions and goodness of the calibration models of REML-EBLUP + σ_e^2 provides a comparable accuracy to MCMC.
- The LMM approach utilised in this study can be used to account for other types of measurement error, including data estimated from pedotransfer functions. Further work needs to include the effect of spatial position errors in the LMM.
- Although this study is focused on filtering the measurement errors of soil carbon data, the technique is amenable for other data (for example soil, air, and water data) sources with known uncertainty. If the uncertainty of data is not explicitly quantified, the MCMC techniques can be used to quantify the measurement errors.

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Appendix A

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.scitotenv.2018.02.302>.

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